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jc962 U.S. PTO

**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate  
(check, if applicable)

jc971 U.S. PTO  
09/839711  
04/20/01

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

Attorney Docket No. 9598-38U1 (53844-5005)  
First Named Inventor: Prockop  
Express Mail Label No. EL719361835  
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the **non-provisional** utility patent application entitled:  
**STROMAL CELL USE**

which is:

an ☐ Original; or

- a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior pending PCT Application PCT/US99/25134 filed on October 26, 1999  
(International Publication No. WO00/24261 published on May 4, 2000 [copy  
enclosed]); Provisional Patent Application No. 60/105,671, filed on October 26,  
1998.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 28 pages.
- ☒ Newly executed/unexecuted Declaration (original/copy).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 4 sheets of drawings (formal) plus one copy.
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ( pages)
  - ☐ Verified Statement
- ☐ Under PTO-1595 cover sheet, an assignment of the invention.
- ☐ Certified copy(ies) of \_\_\_\_\_ Application No. \_\_\_\_\_, filed \_\_\_\_\_, is filed:
  - ☐ herewith or ☐ in prior application \_\_\_\_\_.
- ☒ Applicants, by their undersigned attorney, claim Small Entity Status under 37  
C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or  
☐ a Non-Profit Organization
- ☐ Preliminary Amendment.
- ☐ Information Disclosure Statement, PTO-1449 and cited references
- ☐ Other: \_\_\_\_\_

09839711-042001

The filing fee has been calculated as shown below:

			SMALL ENTITY			LARGE ENTITY	
CLAIM S	NO. FILED	NO. EXTRA	BASIC FEE: \$355			BASIC FEE: \$710	
Total	16-20 =	0	X 9	\$ 0	OR	X__	\$
Indepen dent	7- 3 =	4	X 40	\$ 160.00	OR	X__	\$
Multiple Dependent Claims Present: 0			\$ 135	\$ 0	OR	\$__	\$
			<b>TOTAL</b>	<b>\$ 515.00</b>	OR	<b>TOTAL</b>	<b>\$</b>

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ A check in the amount of \$ 515.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-0310** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$ \_\_\_\_\_ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

April 20, 2001  
(Date)

By:

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